



UNITED STATES PATENT AND TRADEMARK OFFICE

065027.01031

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov



Application Number: 09/966,845

Attachment to Paper No.:

DOCKETED

*Due: January 6, 2002
(N, surcharge due)*

**REISSUE SUPPLEMENT
NOTICE TO FILE MISSING PARTS OF APPLICATION**

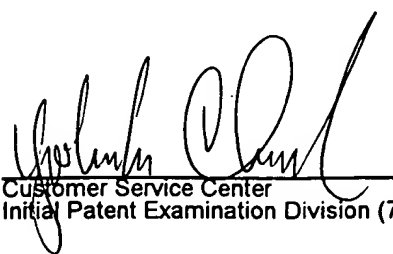
This Reissue Supplement is an attachment to:

- ☒ "Notice to File Missing Parts of Application" Filing Date Granted
☐ "Notice of Incomplete Application" No filing Date Granted

The item(s) indicated below as missing must be filed within the period for reply set on the attached form to avoid abandonment.

Correction of the following is required to complete the reissue application:

- ☒ 1. The reissue specification has not been provided in double-column format as is required by 37 CFR 1.173(a)(1).
- ☐ 2. Consent of the assignee is missing. 37 CFR 1.172 requires that the reissue oath/declaration be accompanied by the written consent of all assignees.
- ☐ 3. Consent of the assignee is present, but is unsigned. A statement of consent bearing the signature of an official authorized to act on behalf of the assignee(s) must be provided.
- ☐ 4. Assignee's statement under 37 CFR 3.73(b) establishing ownership of the patent is missing. 37 CFR 1.72 requires that all assignees consenting to the reissue establish their ownership interest in the patent by filing in the reissue application a statement in accordance with 37 CFR 3.73(b). See MPEP Sec. 324.
- ☐ 5. Assignee's statement under 37 CFR 3.73(b) is present, but is unsigned. A 37 CFR 3.73(b) statement bearing the signature of an official authorized to act on behalf of the assignee must be provided.


Customer Service Center
Initial Patent Examination Division (703) 308-1202

Docket ☒ Wrapper ☒

RVF Docketed N/A

Reference(s) _____



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APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/966,845	09/28/2001	2162	1398	065027.0103	15	87	13

CONFIRMATION NO. 4972

FILING RECEIPT



OC000000007029201

05073
BAKER BOTTS L.L.P.
2001 ROSS AVENUE
SUITE 600
DALLAS, TX 75201-2980

Date Mailed: 11/06/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Barbara J. Boe, Dallas, TX;
Julia M. Hamrick, Dallas, TX;
Marjorie L. Aarant, Dallas, TX;

Domestic Priority data as claimed by applicant

THIS APPLICATION IS A REI OF 09/162,825 09/29/1998 PAT 6,236,975

Foreign Applications

If Required, Foreign Filing License Granted 11/05/2001

Projected Publication Date: Not Applicable

Non-Publication Request: No

Early Publication Request: No

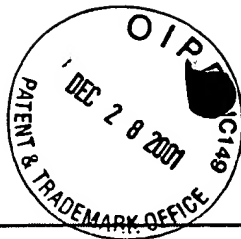
** SMALL ENTITY **

Title

System and method for profiling customers for targeted marketing

Preliminary Class

705



**LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15**

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

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ATTORNEY DOCKET NO.
065027.0103

PATENT APPLICATION
09/966,845

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Boe, et al.
Application No.: 09/966,845
Filing Date: September 28, 2001
Filing Receipt Confirmation No.: 4972
Group Art Unit: 2162
Title: SYSTEM AND METHOD FOR PROFILING
CUSTOMERS FOR TARGETED MARKETING

Box Missing Parts
Assistant Commissioner for
Patents
Washington, D.C. 20231

Dear Sir:

CERTIFICATE OF MAILING BY EXPRESS MAIL

I hereby certify that the attached Response to Reissue Supplement Notice to File Missing Parts of Application Under 37 CFR 1.173(a)(1) (2 pages), copy of Reissue Supplement Notice to File Missing Parts of Application (3 pages), a revised Reissue Specification provided in double-column format (12 pages), a Baker Botts return receipt postcard (1 postcard), and this Certificate of Mailing are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR § 1.10 on this 28th day of December, 2001, addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Willie Jiles

Willie Jiles

Attorney's Docket No.:
065027.0103
Express Mail Receipt
No. EL930054466US

01-04-02

Receipt
3

ATTORNEY DOCKET NO.
065027.0103

PATENT APPLICATION
09/966,845

1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Boe, et al.
Application No.: 09/966,845
Filing Date: September 28, 2001
Filing Receipt Confirmation No.: 4972
Group Art Unit: 2162
Title: SYSTEM AND METHOD FOR PROFILING
CUSTOMERS FOR TARGETED MARKETING

Assistant Commissioner for
Patents
Washington, D.C. 20231

Dear Sir:

RESPONSE TO REISSUE SUPPLEMENT
NOTICE TO FILE MISSING PARTS OF APPLICATION
UNDER 37 CFR 1.173(a)(1)

This is in response to the Reissue Supplement Notice to File Missing Parts of Application accompanying Filing Receipt Confirmation No. 4972 mailed November 6, 2001. Applicants submit herewith:

(a) A copy of the Reissue Supplement Notice to File Missing Parts of Application.

ATTORNEY DOCKET NO.
065027.0103

PATENT APPLICATION
09/966,845

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(b) A revised reissue specification provided in double-column format as required by 37 CFR 1.173(a)(1).

(c) Although Applicants believe that no surcharge is due, the Commissioner is hereby authorized to charge any other fees required or credit any overpayment to Deposit Account No. 02-0384 of BAKER BOTTS L.L.P.

Respectfully submitted,
BAKER BOTTS L.L.P.
Attorneys for Applicants



Keiko Ichiye
Reg. No. 45,460

Date: December 28, 2001

Correspondence Address:

BAKER BOTTS L.L.P.
2001 Ross Avenue, Suite 600
Dallas, TX 75201-2980
Tel. 214.953.6494
Fax 214.661.4494